

Elevator Constructors Annuity and 401(k) Retirement Plan
PLAN TO PLAN TRANSFER FORM

Use this form to transfer your accounts with the Elevator Constructors Annuity & 401(k) Retirement Plan/MassMutual to the Elevator Constructors Union Local No. 1 Annuity & 401(k) Fund/Vanguard

Account Number 60041-1
Sponsor Name Trustees, ECA & 401(k)

Plan Name Elevator Constructors Annuity and 401(k) Retirement Plan

INFORMATION TO BE COMPLETED BY PARTICIPANT

Complete this section, read the section titled Investment of Transferred Amounts, sign and send the form to MassMutual at the address provided below.

MassMutual Retirement Services
PO Box 219062
Kansas City MO 64121-9062

For Overnight Mail:
MassMutual Retirement Services
430 W 7th St
Kansas City MO 64105

Participant's Name _____
First Middle Last

Participant's Address _____

Phone Number _____

Social Security Number _____

INFORMATION TO BE COMPLETED BY MASSMUTUAL

Provide a breakdown of the transferred amount by contribution source. If contribution source information is not provided, transferred amounts will be considered pre-tax employee salary reduction contributions and earnings. If historical values are not provided, the entire transferred amount will be considered as attributable to post December 31, 1988 earnings. Amounts below are as of close of business _____[date].

1. Total pre-tax salary \$ _____
2. Total Annuity balance \$ _____
3. Total rollover balance \$ _____

If there are no investment selections on record, your transferred funds will be allocated to your employer-selected default investment option.

Confirmed current Participant of National Annuity 401(k) Plan:

Local 1 Plan Representative

Print Name: _____ Signature: _____ Date: _____

PAYMENT INFORMATION

Payment Instructions

Annuity Source – The check is to be made payable to “Elevator Constructors Union Local 1 Annuity and 401(k) Fund).”

401(k) Source – The check is to be made payable to “Vanguard FBO (participants name)”

Mail payment and a copy of this form to:

Joan Groarke
Client Services Manager
ECU Local No. 1 Annuity and 401(k) Fund
Zenith American Solutions
140 Sylvan Avenue, Suite 303
Englewood Cliffs, NJ 07632

SIGNATURE

Participant

____/____/____

Date